U.S. Application No.: 10/591,987

Attorney Docket No.: Q80398

**REMARKS** 

Preliminarily, Applicants respectfully request the Examiner to acknowledge their

claim for foreign priority and receipt of the certified copy of the priority document (from

the International Bureau). The certified copy of the priority document has a PAIR

mailroom date of June 10, 2008.

The amendment to claim 1 which further characterizes the thickness of the various barrier

layers find support at page 8, lines 13-22 of the specification.

Review and reconsideration on the merits are requested.

Claims 1-4 were rejected under 35 U.S.C. § 103(a) as being unpatentable over U.S.

6,975,660 to Johnson in view of U.S. Patent 5,900,642 to Nakatsu et al. The grounds for

rejection remain substantially the same as set forth in the previous Office Action.

In the Response to Arguments at pages 5-7 of the Office Action, the Examiner comments

as follows.

(a) The Examiner relies on Fig. 9 of Nakatsu et al as disclosing a multiple quantum

well structure in which both end layers of the light-emitting layer are barrier layers.

(b) Although present claim 1 recites that the second end layer is thicker than the

barrier layer of the first end layer, "the claim limitation does not have any evidence of

criticality." In view thereof, the Examiner concluded that it would have been obvious to

optimize the second barrier layer of the prior art so as to be thicker than the barrier layer of the

first end layer.

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(c)

Claim 1 does not recite the effect due to the structure in which both end layers of

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the light-emitting layer are barrier layers, namely, that dispersion of carriers toward the n-type

and p-type clad layers is prevented more effectively.

(d) Because Applicants have not presented evidence of criticality in increasing the

thickness of each of the barrier layers from the first end layer toward the second end layer (claim

2), the Examiner concluded that the subject claim limitation "has been optimized."

(e) Because Applicants have not presented evidence of criticality in an arrangement

where the second end layer has an impurity concentration low at is junction portion relative to

the well layer, highest at is central portion and reduced gradually from the central portion toward

the p-type clad layer (claim 3), the Examiner concluded that the subject claim limitation "has

been optimized."

Applicants respectfully traverse. The Examiner's comments are addressed in turn, as

follows.

(a) In Fig. 9 of Nakatsu et al, the layers identified by the Examiner as being barrier

end layers are actually upper and lower clad layers, respectively. Contrary to the Examiner's

suggestion, within the active layer, both end layers are well layers. Further, there is no

description in Nakatsu et al to the effect that the upper and lower clad layers of Fig. 9 emit light,

such that the subject clad layers are not part of the light-emitting layer (active layer). Thus,

because the upper and lower clad layers are not part of the light-emitting layer, Fig. 9 of Nakatsu

et al does not show first and second end layers of the light-emitting layer as being barrier layers

as required by present claim 1. From a different perspective, if the upper and lower clad layers

shown in Fig. 9 are taken as the first and second end layers of the light-emitting layer, then Fig. 9

does not show a first end barrier layer opposed to an n-type clad layer and a second end barrier

layer opposed to a p-type clad layer.

Notwithstanding the foregoing, claim 1 has been amended to recite that the barrier layers

other than the second end layer have a thickness of 15 nm or more and 50 nm or less, and that the

second end layer has a thickness of 1.2 or more times and 2.5 or less times the thickness of the

barrier layers other than the second end layer, to thereby clearly distinguish over lower clad layer

22 (asserted by the Examiner to be a barrier layer) shown in Fig. 9 of Nakatsu et al having a

thickness of 1.5 nm and an upper clad layer 24 (asserted by the Examiner as being a barrier

layer) similarly having a thickness of 1 µm as described at col. 11, lines 38-54.

Moreover, in Fig. 9 of Nakatsu et al, the lower clad layer has a greater thickness than the

upper clad layer. Namely, Nakatsu et al neither discloses nor suggests the feature of claim 1

which specifies that the second end barrier layer has a greater thickness than the other barrier

layers.

For these reasons alone, it is respectfully submitted that the amended claims are

patentable over Johnson in view of Nakatsu et al.

Applicants' specification does show criticality in setting the thickness of the (b)

second barrier layer (21n) to be thicker than the barrier layer (21n) of the first end layer.

Specifically, in Example 1 at pages 16-19 of the specification, the thickness of each of the five

Si-doped 2aN barrier layers 21 except for the other end layer 21n was set to 15 nm, whereas the

Si-doped 2aN barrier layer forming the other end layer 21n was set to 20 nm in thickness which

was larger than those of the other barrier layers (page 17, line 33-page 18, line 3 of the specification). In the Comparative Example at pages 19-20, the same LED was prepared, except that the other end layer (21n) was made of an Si-doped 2aN barrier layer having the same thickness as the other barrier layers. The respective devices were then evaluated in which a forward current of 20 nA was allowed to flow through the subject LED chips. Although the light-emitting wave length of the LED chips of Comparative Example is the same as that of the LED chips of Example 1, the light-emitting output was reduced from 8.7 mW to 5.9 mW. Further, the device of the Comparative Example did not reach the characteristics of the LED of Example 1 (i.e., a near-ultraviolet LED of high light-emitting output).

The merit of forming both ends of the light-emitting layer with barrier layers is (c) described at page 3, lines 26-31 of the specification, reproduced below as follows.

> According to the present invention, in the light-emitting layer of the multiple quantum well structure, layers forming both ends of the light-emitting layer are barrier layers. Thus, it is possible to suppress the dispersion of carrier toward the clad layer, and since carrier is enclosed with the well layer, the light emitting output can be enhanced.

The claims need only recite the structure/composition of the device giving rise to its various effects. There is no requirement to recite the effect of the invention in the claim itself.

(d) The effect of using a barrier layer whose thickness is gradually increased is discussed bridging pages 8-9 of the specification. Example 2 at pages 20-21 describes preparation of such a device, providing a light-emitting output as high as 8.9 mW as in Example 1.

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(e) Example 3 at pages 21-22 describes preparation of a device in which the

impurities are distributed as claimed in claim 3. LED chips formed in this manner exhibited a

low forward voltage of 3.2 V and a high light-emitting output of 8.6 mW.

Besides Example 3, the effect of the device in which impurities are distributed as defined

in claim 3 is described at page 4, lines 15-22; at page 9, lines 8-17; and page 11, lines 1-3 of the

present specification.

The effect of the pn junction type Group III nitride semiconductor light-emitting device

of claim 4 is described at page 4, lines 23-26 and page 11, lines 21-30 of the present

specification.

Applicants further comment as follows.

With regard to the Examiner's assertion that the subject claim limitations (i)

discussed in (d) and (e) above "have been optimized," it is the Examiner's burden to first show

that a particular parameter is recognized in the art as a result-effective variable before

determining that the optimum or workable ranges thereof might be characterized as "routine

experimentation." The Examiner repeatedly asserts that the various claim limitations "have been

optimized," yet points to nothing in the prior art which suggests these structural features in the

first instance.

(ii) In Johnson, nitrogen is added to the well layers to the extent that the characteristic

of the crystal structure of the InGaAsSb semiconductor is not compromised. In contrast, both

well layers and the barrier layers constituting a semiconductor light-emitting device of the

present invention are both formed of a Group III nitride semiconductor. Thus, they differ in

composition from those disclosed by Johnson.

More importantly, Johnson does not disclose the feature of amended claim 1 which

defines the barrier layers other than the second end layer as having a thickness of 15 nm to

50 nm.

Moreover, Johnson does not disclose the features of claims 2-4 of the present application.

(iii) In the semiconductor light--emitting device disclosed in Figure 9 of Nakatsu

et al, the composition of the quantum well layers is  $Zn_{1-x}Cd_xS$  ( $0 \le x \le 1$ ) and the

composition of the barrier layers is ZnSe (claim 2).

The quantum well layers and the barrier layers composing the semiconductor light-

emitting device disclosed in Figure 9 of Nakatsu et al are entirely different in composition

from those constituting the VCSEL of Johnson. Further, both Johnson and Nakatsu et

al do not disclose a semiconductor light-emitting device comprised of well layers and

barrier layers which are formed of a Group III nitride semiconductor.

Regarding this last point, in Johnson, barrier layers can be comprised of GaAsN, GaAsB

or AlGaAs, whereas confinement layers can be comprised of AlGaAs which is not a Group III

nitride semiconductor. In Nakatsu et al, both the well and barrier layers are not formed of a

Group III nitride semiconductor.

Aside from the above differences in structure, the growth conditions and lattice constants

are largely different when the composition of the semiconductor is varied. The instant invention,

therefore, is not what could have been accomplished by a person skilled in this field of art at the

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time of the filing of the present application by conducting "routine experimentation" based on

what is disclosed by Johnson and Nakatsu et al.

For the above reasons, it is respectfully submitted that the amended claims are patentable

over Johnson in view of Nakatsu et al, and withdrawal of the foregoing rejection under 35 U.S.C.

§ 103(a) is respectfully requested.

Withdrawal of all rejections and allowance of claims 1-4 is earnestly solicited.

In the event that the Examiner believes that it may be helpful to advance the prosecution

of this application, the Examiner is invited to contact the undersigned at the local Washington,

D.C. telephone number indicated below.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account

Respectfully submitted,

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